

# Rigor, Reproducibility, & Defining Adequate Rationale for Trials

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# Translational Success?

Overall success rates of Phase II clinical trials of NCEs fall from 28% to 18%

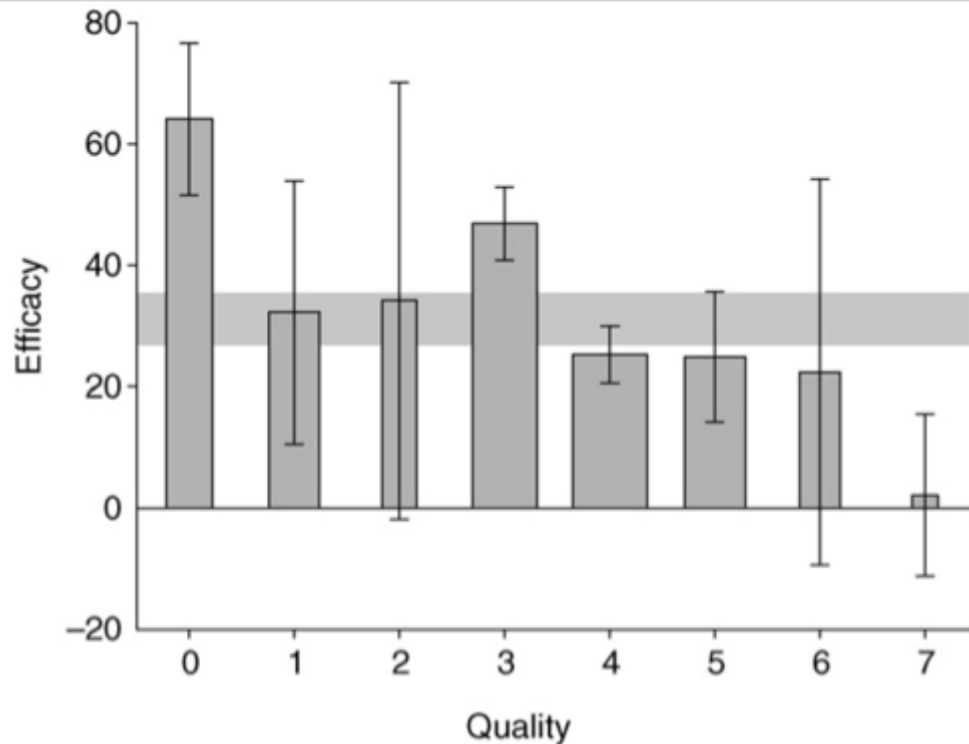
*(Nat Rev Drug Discov 10, 328–29, 2011)*

How to improve clinical trial success rates for DM?

# Reproducibility is a Problem

- Bayer validated only 35% of published preclinical studies sampled (*Nat Rev Drug Discov* 10: 712, 2011)
- Amgen published similar data...
- Journal impact factor doesn't seem to translate into reliability
- After 30 candidates, backed by preclinical efficacy data, failed in trials, ALS TDI failed to replicate *any* of the prior mouse results for 70 different compounds
- Matter of design of the preclinical studies
- “failure...to demonstrate efficacy...leads us to conclude that the majority of published effects are most likely measurements of noise...” (*Amyotroph Lateral Scler* 2008; 9(1):4-15)

# Rigor Impacts Effect Size



Macleod et al.,  
*J Cereb Blood Flow  
Metab* 25: 713-21,  
2005

- Meta-analysis of 29 FK506 studies in stroke models
- “concerns that estimates of effect size might be too high because of factors such as study quality and publication bias”

# 1 A call for transparent reporting to optimize the predictive value of preclinical research

Story C. Landis<sup>1</sup>, Susan G. Amara<sup>2</sup>, Khusru Asadullah<sup>3</sup>, Chris P. Austin<sup>4</sup>, Robi Blumenstein<sup>5</sup>, Eileen W. Bradley<sup>6</sup>, Ronald G. Crystal<sup>7</sup>, Robert B. Darnell<sup>8</sup>, Robert J. Ferrante<sup>9</sup>, Howard Fillit<sup>10</sup>, Robert Finkelstein<sup>1</sup>, Marc Fisher<sup>11</sup>, Howard E. Gendelman<sup>12</sup>, Robert Golub<sup>13</sup>, John L. Goudreau<sup>14</sup>, Robert A. Gross<sup>15</sup>, Amelie K. Gubitzi<sup>1</sup>, Sharon E. Hesterlee<sup>16</sup>, David W. Howells<sup>17</sup>, John Huguenard<sup>18</sup>, Katrina Kelner<sup>19</sup>, Walter Koroshetz<sup>1</sup>, Dimitri Krainc<sup>20</sup>, Stanley E. Lazic<sup>21</sup>, Michael S. Levine<sup>22</sup>, Malcolm Macleod<sup>23</sup>, John M. McCall<sup>24</sup>, Richard T. Moxley III<sup>25</sup>, Kalyani Narasimhan<sup>26</sup>, Linda J. Noble<sup>27</sup>, Steve Perrin<sup>28</sup>, John D. Porter<sup>1</sup>, Oswald Steward<sup>29</sup>, Ellis Unger<sup>30</sup>, Ursula Utz<sup>1</sup> & Shai D. Silberberg<sup>1</sup>

Grant applications & publications should report on **core parameters** of randomization, blinding, sample-size estimation, & data handling; better reporting of studies will lead to rigorous study design

**NINDS' emphasis was on Reporting**

# NINDS Rigor Guidelines

- Experimental Design
  - Rationale for the selected models & endpoints; adequacy of the controls; route & timing of delivery/dosing; powering; stats methodology
- Minimizing Bias
  - Methods of blinding; randomization and/or stratification; reporting of missing data; reporting all results
- Results
  - Independent validation/replication; dose-response; robustness & reproducibility; validation of target engagement/modulation
- Interpretation of Results
  - Alternative interpretations; validation from other literature; size of effect re expected clinical impact; potential COIs

# New NIH Rigor Requirements

As of 1/25/2016—all NIH applications must address:

1. the scientific premise forming the basis of the proposed research;
2. rigorous experimental design for robust and unbiased results;
3. consideration of relevant biological variables; and
4. authentication of key biological and/or chemical resources.

# A 3-Stage Model for Preclinical Efficacy Studies

1. Pilot Study (discovery focus)
  - Initial testing of cmpd/biologic
  - But, recognize these studies can carry unintentional biases
2. Exploratory Preclinical Study (mechanism/target focus)\*
  - Efficacy via multiple outcomes
3. Preclinical Trial (cmpd/biologic focus)\*
  - Efficacy via predetermined primary outcome, multiple models/large models when possible
  - Gold standard

\* randomized, blinded, clinically relevant design

\*Credit: Howard  
Fillit  
ADDF



# Desperately Seeking Scientific Premise

*Challenge:* Boost clinical trial success rate

*Means to an End:* Unbiased examination of *all* aspects of the rationale / scientific premise behind each clinical trial (basic biology to supporting clinical data)

# Therapeutic Pipeline: Stage-Specific Activities

FDA  
IND

FDA  
NDA or BLA

**Basic  
Research**

**Basic/  
Mechanistic**

**Preclinical  
Development**

**Clinical  
Studies & Trials**

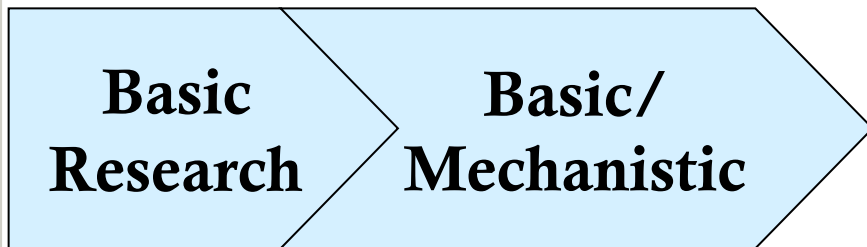
discover  
relevant  
gene/mRNA/  
protein  
& what it  
does

learn how  
gene/mRNA/  
protein  
causes NMD;  
ID drug targets

assays & models;  
evaluate targets  
& candidate  
therapies for safety  
& efficacy in cells &  
animal models

trial readiness  
(registries, natural  
history, endpoints,  
biomarkers, care  
standards, etc.); run  
safety/efficacy trials

# Seeking Scientific Premise: Starting with the Basic Science



Target ID?

Is there a basic understanding of the biology of the involved gene, RNA, &/or protein?

Do we truly understand the disease mechanism?

Or is a non-disease-mitigating/ancillary event being addressed?

# Seeking Scientific Premise: Non-Clinical Triaging



Optimization?

Efficacy; is preclinical POC established? Rigor?

Appropriateness of endpoints?

Delivery route appropriate?

Bioavailability, exposure, PD/PK?

Non-clinical program—tox liabilities?

Kill early attitude!

# Seeking Scientific Premise: Clinical Premise Validation

FDA  
NDA or BLA



**Clinical  
Studies & Trials**

Natural history sufficient—modifiable endpoints in place & variability understood? Risk/benefit assessments? Biomarkers?  
Early PK/PD assessments?  
POC at early stage?  
Prior experience with drug / pathway in pts?  
Kill early attitude!

# Keeping the DM Pipeline Sludge-Free



truly understand basic mechanisms; funding, recruiting/retaining talent, & 'facilitated' luck

no 'translation before it's time;' rigor & rationale; clear go/no-go's

premise; trial readiness; equipoise; CDEs: early hard data decisions: stage-appropriate conclusions

partnering

- Optimizing the pipeline: academic—advocacy—Federal funder—drug developer partnering...

# Path to Informed Trials

*Goal:* collectively obtain **adequate** scientific rationale to launch clinical trials & improve on generally poor success rates of those trials

**Adequate** = conducted using best practices to be sufficiently rigorous and well informed

Improving how we make **unbiased** decisions via robust preclinical & clinical evaluation systems